# Prediction of Prognostic Factors in the Survival of Non-smallcell Lung Cancer Patients with Multiple Brain Metastases

Çoklu Beyin Metastazlı Küçük Hücreli Dışı Akciğer Kanserli Hastaların Sağkalımında Prognostik Faktörlerin Öngörülmesi

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# ABSTRACT

**Introduction:** We aimed to investigate the factors affecting survival in non-small-cell lung cancer (NSCLC) patients with multiple brain metastases.

**Methods:** One hundred thirty patients who were diagnosed with NSCLC at the time of presentation or during disease followup were evaluated at University of Health Sciences Turkey, istanbul Training and Research Hospital, Clinic of Radiation Oncology between 2012 and 2017.

**Results:** In univariate analysis, significant effects of age >60 (p=0.006), stage 4 at the time of diagnosis (p<0.001), Karnofsky Performance score (KPS) <70 (p<0.001), extracranial metastasis presentation (p=0.014), uncontrolled primary tumor (p=0.002), headache (p=0.037), and recursive partitioning analysis (RPA) Class III (p<0.001) were observed in predicting early mortality. In multivariate Cox regression analysis, stage 3 at the time of diagnosis [hazard ratio (HR): 0.419, 95% confidence interval (Cl): 0.217-0.809, p=0.010], KPS  $\geq$ 70 (HR: 14.515, 95% Cl: 5.470-38.519, p<0.001), and RPA Class I-II (HR: 0.192, 95% Cl: 0.102-0.362, p<0.001) had a positive effect on overall survival.

**Conclusion:** Predicting prognostic factors when making whole- brain radiotherapy decisions in NSCLC patients with multiple brain metastases will help in treating such patients appropriately. In multiple brain metastases with NSCLC patients, stage 3 at the time of diagnosis, KPS  $\geq$ 70, and RPA Class I-II have a positive effect on overall survival.

Keywords: Non-small-cell lung cancer, multiple brain metastases, survival

# ÖΖ

**Amaç:** Çoklu beyin metastazı olan küçük hücreli dışı akciğer kanseri hastalarında sağkalımı etkileyen faktörleri araştırmayı amaçladık.

Yöntemler: 2012-2017 yılları arasında Sağlık Bilimleri Üniversitesi, İstanbul Eğitim ve Araştırma Hastanesi, Radyasyon Onkoloji Kliniği'nde, ilk başvuru anında veya hastalığın takibi sırasında beyin metastazı gelişen küçük hücreli dışı akciğer kanseri tanılı 130 hasta değerlendirildi.

**Bulgular:** Tek değişkenli analizde, yaş >60 (p=0,006), tanı anında evre 4 hastalık (p<0,001), Karnofsky Performans skoru (KPS) <70 (p<0,001), ekstrakraniyal metastaz varlığı (p=0,014), primerin kontrol altında olmaması (p=0,002), baş ağrısı (p=0,037) ve RPA sınıfı III (p<0,001) erken mortaliteyi tahmin etmede istatistiksel anlamlı olarak gözlendi. Çok değişkenli Cox regresyon analizinde, ilk başvuru anında evre 3 hastalık (HR: 0,419, 95% CI: 0,217-0,809, p=0,010), 70≥ KPS [hazard ratio (HR): 14,515, 95% CI: 5,470-38,519, p<0,001] ve RPA Sınıf I-II'nin (HR: 0,192, 95% CI: 0,102-0,362, p<0,001) genel sağkalım üzerinde olumlu etkisi vardı.

Sonuç: Çoklu beyin metastazı olan küçük hücreli dışı akciğer kanseri hastalarında tüm beyin radyoterapisi kararı verirken prognostik faktörlerin öngörülmesi hastaların uygun şekilde tedavi edilmesine yardımcı olacaktır. İlk başvuru anında evre 3 hastalık, KPS ≥70 ve RPA sınıf I-II çoklu beyin metastazı olan küçük hücreli dışı akciğer kanseri hastalarında genel sağkalımı olumlu yönde etkiler.

Anahtar Kelimeler: Küçük hücreli dışı akciğer kanseri, çoklu beyin metastazı, sağkalım



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## Introduction

The most commonly known cancers that metastasize to the brain are lung cancer, breast cancer, and melanoma. Lung cancer is the main cause of cancer mortality and a type of malignancy in which brain metastases are often observed (1). For patients with solid tumors, brain metastasis shortens life expectancy. It is related to a lower quality of life and survival (2). Approximately 10% of patients with non-small-cell lung cancer (NSCLC) will have brain metastasis detected during diagnosis, and 30%-50% will develop brain metastasis (3,4). Early diagnosis is attained with a suitable frequency of neurological imaging during magnetic resonance imaging (MRI) screening and treatment follow-up.

The approach to the treatment of brain metastases may be whole-brain radiotherapy (WBRT), surgery, or stereotactic radiosurgery (SRS) (5). The goal of WBRT is to eliminate unrecognized micrometastases on imaging, increase intracranial control, and reducing the risk of mortality due to neurological causes. Survival in patients with symptomatic brain metastases is lower than in asymptomatic patients, independent of the treatment administered (6,7). The most commonly used method to predict the prognosis of a patient with brain metastasis is recursive partitioning analysis (RPA), which includes information on age, extracranial metastasis status, whether the primary tumor is under control, and the Karnofsky Performance score (KPS) (8).

In this study, we aimed to investigate the factors affecting survival in NSCLC patients with multiple brain metastases.

## Methods

This study was approved by University of Health Sciences Turkey, istanbul Training and Research Hospital Ethics Committee (approval number: 1858, date: 14.06.2019). Due to the retrospective analyzes of data from medical records, informed consent was waived. One hundred thirty patients who were diagnosed with NSCLC during follow-up were assessed at the Radiation Oncology Clinic between 2012 and 2017. OS was determined by calculating the interval between the diagnosis of brain metastasis and the time of final control or death.

The criteria for exclusion from the study were the following: 1) patients with leptomeningeal metastasis, 2) pre-WBRT SRS (the use of high-dose radiotherapy in a single fraction in the treatment of intracranial lesion application), 3) small-cell lung cancer histology, and 4) single brain metastases.

WBRT was performed using opposing lateral fields; a gantry tilt of  $3^{\circ}-5^{\circ}$  was used to avoid divergence into the eyes, and multi-leaf collimation blocks were used to ensure proper coverage of the cribriform plate, temporal lobe, and brainstem while shielding the eyes, nasal cavity, and oral cavity. The inferior border was generally set at C1-2. WBRT was administered using a schedule of 20 Gy in 5 fractions of 4 Gy/fx or 30 Gy in 10 fractions of 3 Gy/fx, for 5 days a week. WBRT (20 Gy-30 Gy) applied to all patients with three-dimensional conformal radiotherapy or intensity-modulated radiotherapy using 6 MV (megavolts) of photon energy.

When WBRT was complete, we reassessed the patients in the first month and every three months thereafter with contrast MRI. Additionally, local recurrence and distant metastasis follow-up examinations were performed every three months. The intracranial answer was assessed with 1.1 new response evaluation criteria in solid tumors (9).

#### **Statistical Analysis**

The characteristics of the patients in the two groups were compared using the chi-square test for categorical variables and the Mann-Whitney U test for non-categorical variables. Potential prognostic factors were evaluated using the Kaplan-Meier method (log-rank test) for univariate analysis of the OS and also using a multivariate survival analysis of the Cox regression model. The results were reported as risk ratios hazard ratio (HR) and the corresponding 95% confidence interval (CI). Results were considered statistically significant at p<0.05. Statistical analyzes were conducted with SPSS (version 22.0; IBM Corp., Armonk, NY, USA).

## Results

The median age of the patients was 60 (range: 25-82) years. Fifteen patients were female, and 115 patients were male. Seventy (54%) of the patients had adenocarcinoma histology. The most common reference complaint was a headache in 48 (37%) patients. The most common location of metastases was bilateral hemispheres in 74 (57%) patients.

In univariate Kaplan-Meier survival analysis, of age >60 (p=0.006), stage 4 at the time of diagnosis (p<0.001), KPS <70 (p<0.001), extracranial metastasis presentation (p=0.014), uncontrolled primary tumor (p=0.002), headache (p=0.037), and RPA Class III (p<0.001) were observed to predict early mortality (Table 1).

In multivariate Cox regression analysis, stage 3 at the time of diagnosis (HR: 0.419; 95% CI: 0.217-0.809; p=0.010), KPS  $\geq$ 70 (HR: 14.515; 95% CI: 5.470-38.519; p<0.001), and RPA Class I-II (HR: 0.192; 95% CI: 0.102-0.362; p<0.001) had a positive effect on overall survival (OS) (Table 2).

The median follow-up duration was three months (range: 1-56 months, 95% CI: 2.239-3.761). The 1-year OS in RPA Class I was 66%, in RPA Class II, 12%, and in RPA Class III, none. The median OS was 12, 6, and 2 months for patients with RPA Class I, RPA Class II, and RPA Class III, respectively (p<0.001) (Figure 1).

One-year OS was 58% in patients with KPS  $\geq$ 70. In multivariate analysis, KPS  $\geq$ 70 had a positive effect on OS (Figure 2).

The 1-year OS of patients with stage III at the time of diagnosis was 55%. Moreover, stage 3 at the time of diagnosis had a positive effect on OS (Figure 3).

## Discussion

The brain is the most common area where hematogenous metastasis occurs in patients with lung cancer. While the mean survival of patients with brain metastasis is frequently less than 6 months, it is well recognized that in some subgroups, the mean survival is longer (10).

	Number of patients (%)	Median OS (months)	95% CI	р		
\ge				P		
s60	68 (52)	4	2.099-5.901			
>60	62 (48)	3	2.252-3.748	0.006		
ender	02 (10)	5	2.232 5.7 10			
emale	15 (12)	4	2.832-5.168			
Iale	115 (88)	3	2.167-3.833	0.998		
listology	113 (00)	5	2.107 5.055			
deno	70 (54)	3	2.463-3.537			
thers	60 (46)	4	2.130-5.870	0.196		
tage (at the time of diagnosis)	00 (40)	7	2.150 5.070			
	27 (21)	12	10.192-13.808			
1 /	103 (79)	3	2.555-3.445	<0.001		
xtracranial metastases		5	2.335 3.773			
osent	68 (52)	5	3.308-6.692			
esent		3		0.014		
	62 (48)	S	2.478-3.522			
<b>PS</b>	20 (20)	10	11 002 12 017			
70 70	39 (30) 91 (70)	12	11.083-12.917	<0.001		
	91 (70)	2	1.543-2.457			
rimary under control	52 (20)	4	1.050.0044			
25	53 (38)	4	1.656-6.344	0.002		
0	77 (62)	3	2.356-3.644			
eadache						
25	48 (37)	4	1.574-6.426	0.037		
)	82 (63)	3	2.124-3.876			
ilepsy						
S	15 (12)	3	1.909-4.091	0.103		
)	115 (88)	4	3.169-4.831			
zziness						
25	25 (19)	5	2.062-7.938	0.116		
0	105 (81)	3	2.455-3.545			
oss of balance						
2S	11 (9)	2	1.191-2.809	0.062		
)	119 (91)	4	3.193-4.807			
emiparesis						
25	34 (26)	3	1.147-4.853	0.087		
)	96 (74)	3	2.172-3.828	0.007		
sion loss						
25	2 (2)	1	-	0.348		
0	128 (98)	3	2.245-3.755	0.540		
ocalization of metastasis						
lateral	74 (57)	3	2.401-3.599			
emporal	18 (14)	6	0.879-11.121			
ontal	15 (11)	4	2.106-5.894	0.082		
rebellum	12 (9)	1	-	0.082		
rietal	6 (5)	3	0.000-6.601			
ccipital	5 (4)	5	0.706-9.294			
PA						
ass I	27 (21)	12	11.098-12.902	<0.001		
ass II	31 (24)	6	4.923-7.077			
ass III	72 (55)	3	2.239-3.761			

Table 1. Clinical characteristics and results of the univariate analysis (Kaplan-Meier, log-rank test) of OS of patients with multiple brain metastases

Several studies have shown that patients under 60 years of age are at an increased risk of brain metastasis (11,12). An analysis of 482 patients with stage 3b-4 NSCLC found that, statistically, the risk of brain metastasis was significantly higher in patients less than 60 years of age and in

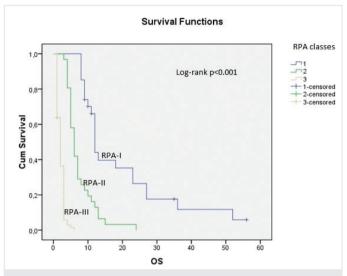
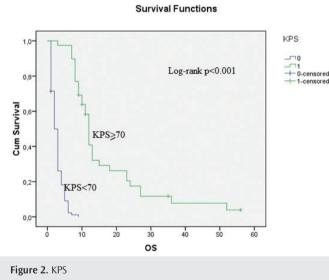


Figure 1. RPA classes

RPA: Recursive partitioning analysis, OS: overall survival

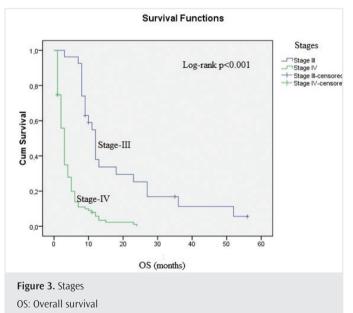


KPS: Karnofsky Performance score, OS: overall survival

patients with adenocarcinoma (13). In another study with 157 patients, age was identified as a prognostic factor (14). Age was determined to be significant in univariate analysis; however, it was not a prognostic factor in the present study.

The prognostic function of gender in brain metastases is unclear. Some studies have demonstrated that, particularly in advanced-stage NSCLC patients, the influence of gender on brain metastases is constrained (15,16). A meta-analysis of risk factors for brain metastases showed that gender could not be used as a marker (17). Nevertheless, some studies show that in early-stage NSCLC patients, the female gender may have predictive value for the incidence of brain metastases (18,19). Gender could have predictive value in early-stage NSCLC but is not appropriate for advanced-stage NSCLC. It has been shown in our study that gender is not a prognostic factor.

We know that lung adenocarcinoma often leads to hematogenous metastasis, whereas epidermoid cell carcinoma often causes lymphatic metastasis (20). The predisposition of NSCLC to brain metastasis is greater in patient subgroups with adenocarcinoma (54.8%) and undifferentiated carcinoma (31.7%) than in those with squamous cell carcinoma (21). Again, other studies have also shown that patients with adenocarcinoma and large-cell carcinoma histology have a higher risk of developing brain metastasis compared with squamous cell carcinoma histology (22,23).



#### Table 2. Results of the multivariate Cox regression analysis of OS of patients with multiple brain metastasis

	HR	95 % CI	р			
Age <60 vs ≥60	1.031	0.692-1.536	0.880			
Stages 3 vs 4 at the time of diagnosis	0.419	0.217-0.809	0.010			
Extracranial metastases absent vs present	0.759	0.521-1.105	0.150			
KPS ≥70 vs <70	14.515	5.470-38.519	<0.001			
Primary under controlled vs uncontrolled	0.875	0.583-1.312	0.518			
Headache present vs absent	1.157	0.771-1.734	0.481			
RPA Class I-II vs III	0.192	0.102-0.362	<0.001			
OS: Overall survival, HR: hazard ratio. CI: confidence interval						

In the present study, the majority of patients were diagnosed with adenocarcinoma (54%). We found no statistically significant differences between the histological subtypes. In our retrospective study, ALK, EGFR, and KRAS mutations were not tested in most patients (only  $\sim$ 5% were evaluated). The reason for that at the time of presentation, 79% of patients were in the metastatic stage and lived a short time.

In two studies, one with patients who developed brain metastasis after surgically resected NSCLC (24) and another with patients who received chemotherapy after cranial radiotherapy (25), the presence of extracranial metastasis was found to be a negative prognostic factor. Another showed extracranial metastasis to be significant in univariate analysis but not significant in multivariate analysis (26). In our study, we found it to be significant in univariate analysis.

In a study which evaluated 1,218 patients, they found that brain metastasis developed as the first site of relapse in patients with NSCLC who underwent curative surgery and had high pT and pN stages (27). In another study that evaluated 105 patients, it was shown that as NSCLC progresses, the time until brain metastasis decreases. Delayed growth of brain metastases was associated with a better prognosis but not increased survival (28). The tumor stage at the time of diagnosis was found to be an important factor in OS.

RPA provides a standard for the clinical comparison of brain metastasis patients, and the safety of this standard has been demonstrated by some clinical studies (29,30). Furthermore, in a different study, adenocarcinoma histology and RPA Class I and II (KPS  $\geq$ 70) were related to better OS (31). In our study, RPA Class I-II and KPS  $\geq$ 70 were also related to better OS.

#### **Study Limitation**

The limitations of this study were that it was performed in a single center, and the majority of patients were not assessed for chemotherapy because chemotherapy could not be administered; therefore, few patients underwent targeted therapy.

#### Conclusion

Radiotherapy is one of the basic treatments for brain metastasis. The survival of patients with multiple brain metastases is affected by numerous factors. In our study, stage 3 at the time of diagnosis, KPS ≥70, and RPA Class I-II were determined as prognostic factors affecting OS. Predicting prognostic factors when making WBRT decisions in NSCLC patients with multiple brain metastases will help in treating such patients appropriately.

#### Ethics

**Ethics Committee Approval:** This study was approved by University of Health Sciences Turkey, İstanbul Training and Research Hospital Ethics Committee (approval number: 1858, date: 14.06.2019).

**Informed Consent:** Due to the retrospective analyzes of data from medical records, informed consent was waived.

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